



PTO/SB/21 (03-03)  
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/668,970
Filing Date	09/24/2003
First Named Inventor	Walter Rosenbaum
Art Unit	3629
Examiner Name	to be assigned
Attorney Docket Number	2001P05313WOUS

Total Number of Pages in This Submission

### ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<b>Remarks</b>	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	1. Transmittal Form; 2. Fee Transmittal Form; 3. Copy of the Filing Receipt (PTO-copy, first page); 4. Request for Corrected Filing Receipt; 5. Recordation Form Cover Sheet; 6. Executed Assignment; 8. Information Disclosure Statement; 9. Information Disclosure Statement by Applicant (2 pages); 10. Patent Documents; 11. Request for Priority under 35 USC §119(a); 12. Certified Copy of the Priority Document; 13. Return Post Card.	

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Jacob Eisenberg, Reg. No. 43,410; Customer No. 28,204 Siemens Schweiz AG, Intellectual Property Department, I-44, Albisriederstrasse 245, CH-8047, Zurich, Switzerland
Signature	
Date	January 15, 2004

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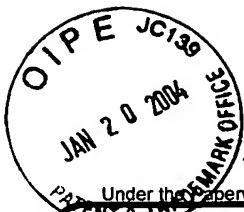
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PTO/SB/17 (10-03)  
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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 40.00

## C m p l e if Known

Application Number	10/668,970
Filing Date	09/24/2003
First Named Inventor	Walter Rosenbaum
Examiner Name	To be assigned
Art Unit	3629
Attorney Docket No.	2001P05313WOUS

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

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Account  
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502464

Siemens Schweiz

The Director is authorized to: (check all that apply)

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☒ Charge any additional fee(s) or any underpayment of fee(s)

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## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$ ) 00.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
0	-20** = 0	X 18	= 0
0	-3** = 0	X 86	= 0
Independent Claims			
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 00.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	40.00
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 40.00

## SUBMITTED BY

Name (Print/Type) Jacob Eisenberg

Registration No. 43,410  
(Attorney/Agent)

(Complete (if applicable))

Telephone +41585583295

Signature

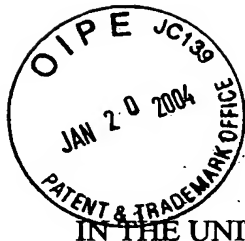
*Jacob Eisenberg*

Date 01/15/2004

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Docket No.: 2001P05313WOUS  
Page 1 of 2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Walter Rosenbaum et al.

Art Unit: 3629

Serial No.: 10/668,970

Examiner: To be assigned

Filing Date: 09/24/2003

Docket No.: 2001P05313WOUS

For: *Method for franking and processing deliveries*

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
United States Patent & Trademark Office  
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Customer Window, Mail Stop Application Number  
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**REQUEST FOR CORRECTED FILING RECEIPT**

Dear Sir or Madam:

Via DHL, Pages 3

Applicants hereby request that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicants, a copy of which is attached hereto, has the following inaccuracies appearing thereon as indicated by quotation marks, and herein requested corrections for which are indicated by double dashes:

Please correct the ATTY.DOCKET No. from:

"2001P015313WOUS" to -- 2001P05313WOUS --.

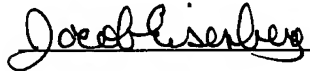
The Patent and Trademark Office is invited to contact the undersigned for any reason which would expedite the handling of this matter. In the event that the Patent and Trademark Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No.: 502464 referencing docket no.:

2001P05313WOUS. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Date: 1-15-2004

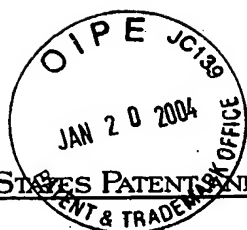
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Zurich, CH-8047 Switzerland

Respectfully submitted,



Jacob Eisenberg  
Attorney for Applicant  
Registration Number 43,410  
**CUSTOMER NUMBER: 28204**

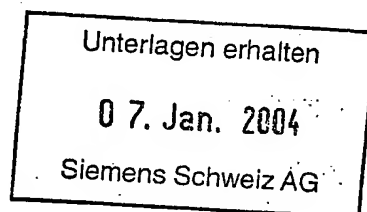
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/668,970	09/24/2003	3629	750	2001P015313WOUS	7	12	1

28204  
 SIEMENS SCHWEIZ  
 I-44, INTELLECTUAL PROPERTY  
 ALBISRIEDERSTRASSE 245  
 ZURICH, CH-8047  
 SWITZERLAND


**CONFIRMATION NO. 1456**
**FILING RECEIPT**


\*OC000000011509165\*

Date Mailed: 12/17/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Walter Rosenbaum, Paris, FRANCE;  
 Ingolf Rauh, Reichenau, GERMANY;  
 Christoph Martin, Konstanz, GERMANY;

**Domestic Priority data as claimed by applicant**

This application is a CON of PCT/DE02/01096 03/26/2002

**Foreign Applications**

GERMANY 101 15 585.9 03/29/2001

If Required, Foreign Filing License Granted: 12/16/2003

Projected Publication Date: 03/25/2004

Non-Publication Request: No

Early Publication Request: No

**Title**

Method for franking and processing deliveries